

APR 26 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**BEST AVAILABLE COPY**

IN RE APPLICATION OF: Tomohiro NAKAJIMA, et al.

SERIAL NO: 09/769,510

GAU: 2872

FILED: January 26, 2001

EXAMINER:

FOR: OPTICAL SCAN MODULE, OPTICAL SCANNER, OPTICAL SCAN METHOD, IMAGE GENERATION AND IMAGE READER

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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SHEET 1 OF 1

Form PTO 1449  
(Modified)

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

202462US2

09/769,510

## LIST OF REFERENCES CITED BY APPLICANT

## APPLICANT

Tomohiro NAKAJIMA, et al.

## FILING DATE

January 26, 2001

## GROUP

2872

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,426,298	06/20/95	Nobuo SAKUMA, et al.			
	AB	5,475,522	12/12/95	Akihisa ITABASHI, et al.			
	AC	5,504,613	04/02/96	Akihisa ITABASHI, et al.			
	AD	5,633,744	05/27/97	Tomohiro NAKAJIMA			
	AE	5,648,864	07/15/97	Akihisa ITABASHI			
	AF	5,753,907	05/19/98	Tomohiro NAKAJIMA, et al.			
	AG	5,786,594	07/28/98	Tatsuya ITO, et al.			
	AH	5,793,408	08/11/98	Tomohiro NAKAJIMA			
	AI	5,936,756	08/10/99	Tomohiro NAKAJIMA			
	AJ	5,999,345	12/07/99	Tomohiro NAKAJIMA, et al.			
	AK	6,052,211	04/18/00	Tomohiro NAKAJIMA			
	AL	6,091,534	07/18/00	Tomohiro NAKAJIMA			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	AM	4-96014	03/27/92	JAPAN (with English Abstract)		X
	AN	4-328715	11/17/92	JAPAN (with English Abstract)		X
	AO	6-3613	01/14/94	JAPAN (with English Abstract)		X
	AP	9-146129	06/06/97	JAPAN (with English Abstract)		X
	AQ	2668725	07/04/97	JAPAN (with English Abstract)		X
	AR	2722630	11/28/97	JAPAN (with English Abstract)		X
	AS	6-255169	09/13/94	JAPAN (with English Abstract)		X
	AT	10-68899	03/10/98	JAPAN (with English Abstract)		X
	AU	11-95152	04/09/99	JAPAN (with English Abstract)		X
	AV	11-174355	07/02/99	JAPAN (with English Abstract)		X
	AW	9-33844	02/07/97	JAPAN (with English Abstract)		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AX	
	AY	
	AZ	

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
PER CLIENT	✓ 09/500,731	02/09/00	UNKNOWN
PER CLIENT	✓ 09/550,412	04/17/00	UNKNOWN
PER CLIENT	✓ 5,786,594	07/28/98	ITO et al.
PER CLIENT	✓ 5,936,756	08/10/99	NAKAJIMA
PER CLIENT	✓ 5,999,345	12/07/99	NAKAJIMA et al.
PER CLIENT	✓ 6,052,211	04/18/00	NAKAJIMA
PER CLIENT	✓ 6,091,534	07/18/00	NAKAJIMA
0557-3058-2 FWC	✓ 5,475,522	12/12/95	ITABASHI et al.
0557-3102-2	✓ 5,504,613	04/02/96	ITABASHI et al.
0557-3164-3 CIP	✓ 5,426,298	06/20/95	SAKUMA et al.
0557-3652-2	✓ 5,793,408	08/11/98	NAKAJIMA
0557-3722-2	✓ 5,633,744	05/27/97	NAKAJIMA
0557-3775-3	✓ 5,648,864	07/15/97	ITABASHI
0557-3806-2	✓ 5,753,907	05/19/98	NAKAJIMA et al.
0557-4969-2	✓ 09/552,657	04/19/00	PENDING
192377US2	✓ 09/608,165	06/30/00	PENDING
202462US2*	✓ 09/769,510	01/26/01	PENDING

\*Present application; listed for information.  
GJM/sdj